



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Lanzatella, et al.

Serial No. 09/997,602

Filed: November 29, 2001

For: METHODS, FUNCTIONAL DATA,  
AND SYSTEMS TO REPRESENT  
A STORAGE ENVIRONMENT

**SERIES**

Group Art Unit: 2171  
Atty. Dkt.: 5760-16700

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria VA 22313-1450, on the date indicated below:

B. Noël Kiylin

5-10-04  
Date

Signature \_\_\_\_\_

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

RECEIVED

JUN 1 0 2004

Technology Center 2100

The Updated Filing Receipt mailed April 1, 2002, lists an Applicant as Steven Micheal Umbehocker. However, the correct Applicant is Steven Michael Umbehocker. I have provided a copy of the Filing Receipt with the change noted thereon. Applicants respectfully request that this change be made and a corrected Filing Receipt be reissued in the above-identified application.

Respectfully submitted,

B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicant(s)

Meyertons, Hood, Kivlin,  
Kowert & Goetzel, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 853-8800

Date: 5-10-04



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/997,602	11/29/2001	2152	1044	1557.001US1	5	25	4

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 5150

UPDATED FILING RECEIPT



\*OC000000007737793\*

Date Mailed: 04/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas W. Lanzatella, Minneapolis, MN;  
Graham Bromley, Dublin, CA;  
John A. Colgrove, Los Altos, CA;  
Ron Karr, Palo Alto, CA;  
Blaine T. Cuykendall, San Jose, CA;  
Oleg Kiselev, Palo Alto, CA;  
Craig Harmer, San Francisco, CA;  
Allen Unueco, Bellevue, WA;  
Steven Michael Umbehocker, Mercer Island, WA;

Michael

RECEIVED

JUN 10 2004

Technology Center 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/17/2001

Projected Publication Date: 05/29/2003

Non-Publication Request: No

Early Publication Request: No

OIA RECEIVED

APR 12 2002

Schwegman, Lundberg  
Woessner & Kluth, P.A.

APR 05 2002

RECEIVED

Title

Methods, functional data, and systems to represent a storage environment

Preliminary Class

709

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).